

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U91110MH1928GAP001391

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAATT3309D

(ii) (a) Name of the company

INDIAN INSTITUTE OF BANKING

(b) Registered office address

Kohinoor City, Commercial II Tower - 1, 2nd Floor,
Kiroi Road, Kurla (West)
Mumbai
Maharashtra
400070

(c) *e-mail ID of the company

ceosec@iibf.org.in

(d) *Telephone number with STD code

02268507095

(e) Website

www.iibf.org.in

(iii) Date of Incorporation

30/04/1928

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by guarantee	Guarantee and association company

(v) Whether company is having share capital

☐ Yes

☒ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☐ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	P	Education	P4	Educational support services	80.86

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

ISIN of the equity shares of the company

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,097,825,587

(ii) Net worth of the Company

6,331,328,361

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	966,718	993,745
Debenture holders	0	0





VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	13	1	18	0	0
(i) Non-Independent	1	13	1	18	0	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	13	1	18	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 20

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ZARIN BOMI DARUWA 	00034655	Director	0	
BASKAR BABU RAMAC 	02303132	Director	0	
SOMA SANKARA PRA 	02966311	Director appointed	0	
DHARANI JANAKIRAM 	03392680	Additional director	0	
DINESH KHARA	06737041	Director	0	
HARIDEESHKUMAR VI 	07167694	Director	0	
ATUL KUMAR GOEL	07266897	Additional director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SUNIL MEHTA	07430460	Director	0	
SIVAKUMAR GOPALAN	07537575	Director	0	
MAVINAKUDIGE SUBRA	07645317	Director	0	
SHANTI LAL JAIN	07692739	Additional director	0	
AJAY KUMAR	07732926	Additional director	0	
ATANU KUMAR DAS	07758968	Director	0	
BISWA KETAN DAS	ABIPD2861R	CEO	0	
BISWA KETAN DAS	08067282	Director	0	
VENKATA PRABHAKAR	08110715	Director	0	
SANJIV CHADHA	08368448	Additional director	0	
OM PRAKASH MISHRA	09244477	Director	0	
SMITA MILIND SANDHU	07637529	Director	0	
RAJKIRAN GUNDYADH	07427647	Director	0	31/05/2022

(ii) Particulars of change in director(s) and Key managerial personnel during the year

14

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
JOSE JOSEPH KATTO ⁺	09213852	Director	23/02/2022	Cessation
SMITA MILIND SANDH ⁺	07637529	Director	31/03/2022	Cessation
RANA ASHUTOSH KUI ⁺	08949873	Director	04/08/2021	Cessation
VIVEK DEEP	07053296	Director	03/06/2021	Cessation
SOMA SANKARA PRA ⁺	02966311	Director appointed	17/02/2022	Appointment
DHARANI JANAKIRAM ⁺	03392680	Additional director	22/02/2022	Appointment
ATUL KUMAR GOEL	07266897	Additional director	05/02/2022	Appointment
SHANTI LAL JAIN	07692739	Additional director	04/03/2022	Appointment
AJAY KUMAR	07732926	Additional director	23/02/2022	Appointment
ATANU KUMAR DAS	07758968	Additional director	04/05/2021	Appointment
SANJIV CHADHA	08368448	Additional director	02/03/2022	Appointment
OM PRAKASH MISHRA ⁺	09244477	Additional director	06/08/2021	Appointment
JOSE JOSEPH KATTO ⁺	09213852	Additional director	28/06/2021	Appointment
ATUL KUMAR GOEL	07266897	Director	31/12/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	04/09/2021	966,718	204	

B. BOARD MEETINGS

*Number of meetings held

3

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	28/06/2021	14	10	71.43
2	02/12/2021	15	12	80
3	29/03/2022	19	9	47.37

C. COMMITTEE MEETINGS

Number of meetings held

1

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Corporate Soc	23/12/2021	4	3	75

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								(Y/N/NA)
1	ZARIN BOMI I	3	2	66.67	0	0	0	
2	BASKAR BAB	3	1	33.33	0	0	0	
3	SOMA SANKA	1	0	0	0	0	0	
4	DHARANI JAN	1	1	100	0	0	0	
5	DINESH KHAI	3	0	0	0	0	0	
6	HARIDEESHK	3	3	100	1	1	100	
7	ATUL KUMAR	3	2	66.67	0	0	0	
8	SUNIL MEHTA	3	1	33.33	1	0	0	
9	SIVAKUMAR	3	3	100	1	0	0	
10	MAVINAKUDI	3	3	100	0	0	0	
11	SHANTI LAL	1	0	0	0	0	0	

12	AJAY KUMAR	1	1	100	0	0	0	
13	ATANU KUMAR	1	0	0	0	0	0	
14	BISWA KETAN	3	3	100	1	1	100	
15	VENKATA PR	3	2	66.67	0	0	0	
16	SANJIV CHAD	1	1	100	0	0	0	
17	OM PRAKASH	2	1	50	0	0	0	
18	SMITA MILIND	3	2	66.67	1	1	100	
19	RAJKIRAN GU	3	2	66.67	0	0	0	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	BISWA KETAN DAS	CEO	5,829,471	0	0	1,837,074	7,666,545
	Total		5,829,471	0	0	1,837,074	7,666,545

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	BASKAR BABU RA	Director	0	0	0	7,000	7,000
2	DHARANI JANAKI	Additional Director	0	0	0	7,000	7,000
3	SIVAKUMAR GOPA	Director	0	0	0	31,000	31,000
4	SMITA MILIND SAN	Director	0	0	0	44,000	44,000
5	SUNIL MEHTA	Director	0	0	0	32,000	32,000
	Total		0	0	0	121,000	121,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

D. A KAMAT & CO

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

4965

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- ☐ Company Secretary
☒ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



D A Kamat & Co
Company Secretaries
Website: csdakamat.com

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **INDIAN INSTITUTE OF BANKING & FINANCE** ("the Institute") as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31st March 2022. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the institute, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid Financial Year correctly and adequately.
- B. During the aforesaid Financial Year, the Institute has complied with provisions of the Act & Rules made there under in respect of, except as stated herein:
 - 1. During the year under review, there has been no change in the status of the Institute;
 - 2. The Institute has maintained and made the necessary entries into the Statutory Records and Registers of the Institute within the time prescribed;
 - 3. The Institute has filed all the necessary e-forms and returns as stated in Annual Return with the Registrar of Companies within the prescribed time;
 - 4. The Institute has duly called/convened/held meetings of the Governing Council (Board Meetings) and the Meetings of the Members of the Institute on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings of the meetings have been properly recorded in the Minutes Book/ Registers maintained for the purpose and the same have been signed;

Our Offices:

Head Office:

A/308, Royal Sands, Shastri Nagar, Andheri (West),
Mumbai 400 053

Email: office@csdakamat.com

Branch Office:

B/208, Shreedham Classic, S V Road, Goregaon
(West), Mumbai 400 104

Tel: +91- 9029661169/ 7208023169



5. The Institute was not required to close its Register of Members during the year under review;
6. The Institute has not advanced loans to its Council Members and/or persons or firms or companies referred to in Section 185 of the Act during the Financial year (after the applicability of this section);
7. The Institute has not undertaken any contract/arrangements with related parties as specified in Section 188 of the Act;
8. Being a Section 8 Company limited by Guarantee and not having share capital, the provisions relating to issue of shares, issue of Bonus shares or buy back of securities or redemption of preference shares or/alteration or reduction of share capital/conversion of shares/securities, declaration of dividend are not applicable to the Institute;
9. The Institute has complied with the signing of Audited Financial statements and Governing Council Report as per the applicable provisions of Companies Act, 2013 for the Financial Year ending 31st March, 2022;
10. During the year under review, the Governing Council (Board of Directors) of the Institute is duly constituted. Further, the changes in the composition of the Governing Council have been mentioned in the E-Form MGT-7 for the Financial Year 2021-22;
11. The Institute had appointed the Statutory Auditors as per the Companies Act, 2013 at its 92nd Annual General Meeting of the Institute for a period of 5 (five) years till the 97th Annual General Meeting to be held in the year 2024;
12. By virtue of being Section 8 Company limited by Guarantee, Section 73 to 75 of the Companies Act, 2013 for accepting / renewal / repayment of Deposits is not applicable to the Institute;

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13. By virtue of being Section 8 Company limited by Guarantee, Section 123 of the Companies Act, 2013 pertaining to declaration of dividend is not applicable to the Institute;
14. During the year under review, the Institute has not undertaken any borrowings with respect to the provisions of Section 179 and Section 180 of the Companies Act, 2013 from any public financial institutions, banks and others and creation/ modification/ satisfaction of charges;
15. The Institute has not granted any loans, made by investments or given guarantees or securities under the provisions of Section 186 of the Companies Act, 2013;
16. During the year under review there has been no alteration in the Articles and Memorandum of Association of the Institute;
17. During the year under the review, the Institute has confirmed that it was not required to obtain any approvals from statutory authorities under the provisions of the Companies Act, 2013.
18. In view of the continuing COVID-19 pandemic, the Ministry of Corporate Affairs vide its Circular dated April 8th, 2020, April 13th, 2020, May 05th, 2020, December 31st 2020 and May 5th, 2022, permitted holding of the Annual General Meeting through VC/OAVM, without the physical presence of the Members. In compliance with the provisions of the Companies Act, 2013 and MCA Circulars, the 95th Annual General Meeting of the Institute was held through VC/OAVM on 17th September, 2022.

Place: Mumbai

Date:

Signature:

Company Secretary in Practice: D A Kamat

D.A. Kamat & Co.

C.P. No: 4965

UDIN:

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